



Filing ID #10023855

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Dr. Ruby Corby-O'Neill  
**Status:** Congressional Candidate  
**State/District:** CT05

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2018  
**Filing Date:** 06/21/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CALAMOS MARKET NEUTRAL INC I (CMNIX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$1 - \$200
CONN ST HLTH EDL FACILITIES AUTH REV LAWRENCE & MEM HOSP ISSUE-F [GS]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
Connecticut HSG FIN AUTH HSG MTG FIN PROG REV SUBSER A-3 [GS]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
CONNECTICUT HSG FIN AUTH HSG MTG FIN PROG REV-E-1 [GS]		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	\$5,001 - \$15,000
CONNECTICUT HSG FIN AUTH SINGLE-FAMILY SPL OBLIG BDS REV 2 [GS]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
CONNECTICUT ST GENL OBLIG SER-F [GS]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
CONNECTICUT ST GENL OBLIGSER-G [GS]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
CONNECTICUT ST HEALTH & EDL FACS AUTH RE [GS]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CONNECTICUT ST HEALTH & EDL FACS AUTH REV [GS]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
CONNECTICUT ST HEALTH & EDL FACS AUTH REV SER-N [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
CONNECTICUT ST HLTH EDL FACS AUTH REV HARTFORD HLTHCARE-A [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
CONNECTICUT ST HLTH EDL FACS AUTH REV HARTFORD HLTHCARE-A [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
CONNECTICUT ST HSG FIN AUTH HSG MTG FIN PROG REV-F-1 [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
CONNECTICUT STATE EMPLOYEES CREDIT UNION [BA]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CONSULTING GROUP ADVISOR RETIREMENT ACCOUNT [IH]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
CT legislative Pension [PE]		Undetermined	Tax-Deferred		
East Haddam Conn Genl Oblig [GS]		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
FAIRFIELD COUNTY BANK C [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
FAIRFIELD COUNTY BANK S [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
Federal Street Barbelles [DO]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	\$5,001 - \$15,000
DESCRIPTION: Address: 234 Clarendon St., Boston MA 02116- 3046. This debt was paid off in 2018 and the proceeds added to other cash and used to purchase other investments which assets have been reported herein.					
Federal Street Barbelles, LLC [DO]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	\$5,001 - \$15,000
FT NORTHAMERICAN INFRA (EMLP) [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
GOLDMAN SACHS BK NEW YORK NY CD [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Goldman Sachs High Yield Income Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
HENNESSY FOCUS INST (HFCIX ) [BA]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
HENNESSY FOCUS INST (HFCIX ) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
HENNESSY FOCUS INST (HFCIX) [MF]		\$1,001 - \$15,000	Dividends, Interest	\$1 - \$200	\$1 - \$200
Hingham Barbelles, LLC [DO]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1,001 - \$2,500
DESCRIPTION: Address: 234 Clarendon St., Boston MA 02116- 3046. This debt was paid off in 2018 and the proceeds added to other cash and used to purchase other investments which assets have been reported herein.					
INVESCO DIVIDEND INCOME Y (IAUYX) [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$1 - \$200
ION BANK [IH]		\$1,001 - \$15,000	Tax-Deferred		
JPMORGAN EQUITY INCOME (HLIEX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$1 - \$200
JPMORGAN EQUITY INCOME I(HLIEX) [MF]		\$15,001 - \$50,000	Dividends, Interest	\$201 - \$1,000	\$1 - \$200
JPMORGAN HEDGES EQUITY I (JHEQX) [MF]		\$15,001 - \$50,000	Dividends, Interest	\$201 - \$1,000	\$1 - \$200
Law Office of Arthur J. O'Neill [OL]	SP	\$1,001 - \$15,000	None		
LOCATION: Southbury, CT, US DESCRIPTION: Law office Solely owned by Spouse					
Loomis Sayles Strategic Income Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	None	\$201 - \$1,000
MFS GROWTH I (MFEIX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
MFS GROWTH I (MFEIX) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MFS INTL DIVERSIFICATION I (MDIJX) [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
MFS INTL DIVERSIFICATION I (MDJIX) [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
MORGAN STANLEY PRIVATE BANK NA [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	None
MORGAN STANLEY PRIVATE BANK NA [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	None
MORGANSTANLEY PRIVATE BK NATL ASSN PUT N Y CD [BA]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	None
MORGANSTANLEY PRIVATE BK NATL ASSN PUT N Y CD [BA]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	None
MS BANK CD SALT LAKE CITY UT CD [BA]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	None
NEWTOWN SAVINGS BANK 2 [IH]		\$1,001 - \$15,000	Tax-Deferred		
NEWTOWN SAVINGS BANK IRA 1 [IH]		\$1,001 - \$15,000	Tax-Deferred		
OAKMARK INTERNATIONAL I (OAKIX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
OAKMARK INTERNATIONAL I (OAKIX) [MF]		\$15,001 - \$50,000	Dividends, Interest	\$201 - \$1,000	\$1 - \$200
Peoples United Bank B [BA]		\$1,001 - \$15,000	None		
People's United Bank c [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
People's United Bank m [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	\$201 - \$1,000
Pimco [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	None	\$2,501 - \$5,000
Prudential Financial, Inc. (PRU) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Prudential Life Insurance A [WU]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
REDDING CON OID [GS]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
RUBY M CORBY-ONEILL ⇒ American WA MUTUAL F2 [MF]		\$15,001 - \$50,000	Tax-Deferred		
RUBY M CORBY-ONEILL ⇒ CALAMOS MARKET NEUTRAL INC I [MF]		\$15,001 - \$50,000	Tax-Deferred		
RUBY M CORBY-ONEILL ⇒ JPMORGAN EQUITY INCOME I [MF]		\$15,001 - \$50,000	Tax-Deferred		
RUBY M CORBY-ONEILL ⇒ MFS GROWTH I [MF]		\$50,001 - \$100,000	Tax-Deferred		
RUBY M CORBY-ONEILL ⇒ MORGAN STANLEY PRIVATE BANK NA [BA]		\$1,001 - \$15,000	Tax-Deferred		
RUBY M CORBY-ONEILL ⇒ WILLIAM BLAIR SM MID CAP GR I [MF]		\$15,001 - \$50,000	Tax-Deferred		
RUBY M CORBY-ONEILL ⇒ WILLIAM BLAIR SM MID CAP GR I [MF]		\$15,001 - \$50,000	Tax-Deferred		
SOUTH WINDSOR CT GENL OBLIG REF-B [GS]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
TD BANK NORTH [IH]		\$1,001 - \$15,000	Tax-Deferred		
TOWN of CLINTON CONN (BONDS) [GS]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
Union Savings Bank (Danbury) [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	\$1 - \$200
Union Savings Bank (Danbury, CT) [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
United States Savings Bonds e [GS]		\$100,001 - \$250,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
United States Savings Bonds h [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UNIVERSITY OF CONN GENL OBLIG SER-A [GS]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UNIVERSITY OF CONNECTICUT GENL OBLIG SER-A [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$201 - \$1,000
VIRTUS VONTOBEL EMRG MKT OPP I (HEIMX) [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
VIRTUS VONTOBEL EMRG MKT OPPI (HIEMX) [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Waterbury CT Teachers Federal Credit Union [BA]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
WELLS FARGO SPECIAL MDCP VL I (WFMIX) [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
State of Connecticut	Spouse Salary	N/A	N/A

### SCHEDULE D: LIABILITIES

None disclosed.

### SCHEDULE E: POSITIONS

Position	Name of Organization
Vice-Chair	Commission on Equity and Opportunity of the State of Connecticut
Chair	Latino and Puerto Rican Affairs Policy Division of the Commission on Equity and Opportunity of Conn.
Secretary	Latino National Republican Coalition of CT

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- RUBY M CORBY-ONEILL

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Dr. Ruby Corby-O'Neill , 06/21/2018